

09-21-06

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PTO/SB/21 (09-04)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/542,184	
	Filing Date	(Intl.) January 20, 2004	
	First Named Inventor	Alun DAVIES	
	Art Unit	1646	
	Examiner Name	Z. Howard	
Total Number of Pages in This Submission	10 pages + 47 refs.	Attorney Docket Number	514712000800

ENCLOSURES (Check all that apply)

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	MORRISON & FOERSTER LLP (Customer No. 25226)		
Signature			
Printed name	Jie Zhou		
Date	September 19, 2006	Reg. No.	52,395

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Patent

Docket No. 514712000800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Alun DAVIES et al.

Serial No.: 10/542,184

International Filing Date: January 20, 2004

For: METHODS OF SCREENING FOR
MODULATORS OF NERVE GROWTH
FACTOR

Examiner: Z. Howard

Group Art Unit: 1646

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of foreign documents and non-patent literature are submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.

- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
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- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
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- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

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Application Serial No. 10/542,184

Patent
Docket No. 514712000800

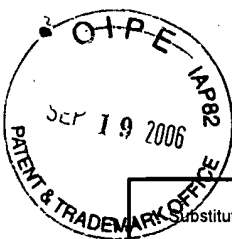
fees due in connection with the filing of this document to **Deposit Account No. 03-1952**
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Dated: September **19**, 2006

Respectfully submitted,

By 
Jie Zhou

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ALTERNATIVE TO PTO/SB/08a/b (07-05)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete if Known		
			Application Number	10/542,184	
			Filing Date	(Intl.) January 20, 2004	
			First Named Inventor	Alun DAVIES	
			Art Unit	1646	
			Examiner Name	Z. Howard	
Sheet	1	of	3	Attorney Docket Number	514712000800

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1.	US-5,565,332-A	10-15-1996	Hoogenboom et al.	
	2.	US-5,580,717-A	12-03-1996	Dower et al.	
	3.	US-5,733,743-A	03-31-1998	Johnson et al.	
	4.	US-6,265,150-B1	07-24-2001	Terstappen et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY			

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	5.	Amann, R. et al. (1995). "Intraplantar Injection of Nerve Growth Factor into the Rat Hind Paw: Local Edema and Effects on Thermal Nociceptive Threshold," <i>Pain</i> 64:323-329.	
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Examiner Signature		Date Considered	
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pa-1086122

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete if Known		
			Application Number	10/542,184	
			Filing Date	(Intl.) January 20, 2004	
			First Named Inventor	Alun DAVIES	
			Art Unit	1646	
			Examiner Name	Z. Howard	
			Attorney Docket Number	514712000800	
Sheet	2	of	3		

17.	Di Marco, E. et al. (October 25, 1993). "Nerve Growth Factor Binds to Normal Human Keratinocytes Through High and Low Affinity Receptors and Stimulates Their Growth by a Novel Autocrine Loop," <i>J. Biol. Chem.</i> 268(30):22838-22846.
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Examiner Signature		Date Considered	
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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
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				Filing Date	(Intl.) January 20, 2004
				First Named Inventor	Alun DAVIES
				Art Unit	1646
				Examiner Name	Z. Howard
Sheet	3	of	3	Attorney Docket Number	514712000800

40.	Richardson, P.M. et al. (October 1986). "The Induction of a Regenerative Propensity in Sensory Neurons Following Peripheral Axonal Injury," <i>J. Neurocyt.</i> 15(5):585-594.	
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51.	Wright, D.E. et al. (January 16, 1995). "Neurotrophin Receptor mRNA Expression Defines Distinct Populations of Neurons in Rat Dorsal Root Ganglia," <i>J. Comp. Neurol.</i> 351(3):329-338.	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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